

**UNITED STATES DISTRICT COURT**  
**DISTRICT OF NEVADA**

DAVID PHILLIP RUFFA,

Petitioner,

vs.

E. K. McDANIEL, *et al.*,

Respondents.

2: 09-cv-02258-KJD-PAL

**ORDER**

This is a petition for writ of habeas corpus pursuant to 28 U.S.C. § 2254 in which petitioner, a state prisoner, is proceeding *pro se*. Pending before the court is respondents' motion to dismiss. (Docket #10.) Petitioner opposes the motion. Also pending before the court is petitioner's motion for discovery. (Docket #23.) Respondents oppose that motion.

**PROCEDURAL HISTORY**

On January 11, 2006, the Eighth Judicial District Court entered a judgment of conviction against petitioner. Exhibit 133. Petitioner was found guilty of the crimes of first-degree kidnapping, third degree arson, and first degree murder. *Id.* The court sentenced petitioner to two concurrent terms of life without the possibility of parole and one term of nineteen to forty-eight months to be served concurrently with his other sentences. *Id.*

1 Prior to the formal entry of his judgment of conviction, petitioner filed a notice of appeal to  
2 the Nevada Supreme Court. Exhibit 131. On January 24, 2008, the Nevada Supreme Court affirmed  
3 petitioner's conviction. Exhibit 165. Remittitur issued on February 19, 2008. Exhibit 166.

4 Petitioner filed a post-conviction petition for writ of habeas corpus with the state district  
5 court on March 28, 2008. Exhibit 167. The court orally denied the petition at a hearing held July  
6 29, 2008. *See* Exhibit 174. On August 18, 2008, petitioner filed a notice of appeal to the Nevada  
7 Supreme Court. Exhibit 171. On September 8, 2008, the state district court entered its findings of  
8 fact, conclusions of law and order denying the petition. Exhibit 176. On August 21, 2009, the  
9 Nevada Supreme Court entered an order affirming the denial of petitioner's petition for writ of  
10 habeas corpus. Exhibit 186. Remittitur issued on November 17, 2009.

11 This court received the present petition on November 25, 2009. (Docket #1.) Respondents  
12 have moved to dismiss the petition. (Docket #10.) Petitioner opposes the motion. (Docket #21.)  
13 Petitioner has also filed a motion for discovery, which respondents oppose. (Docket #23 and #25.)

#### 14 **LEGAL STANDARD**

15 The Antiterrorism and Effective Death Penalty Act ("AEDPA"), at 28 U.S.C. § 2254(d),  
16 provides the legal standard for the Court's consideration of this habeas petition:

17 An application for a writ of habeas corpus on behalf of a  
18 person in custody pursuant to the judgment of a State court shall not be  
19 granted with respect to any claim that was adjudicated on the merits in  
20 State court proceedings unless the adjudication of the claim –

21 (1) resulted in a decision that was contrary to, or involved an  
22 unreasonable application of, clearly established Federal law, as  
23 determined by the Supreme Court of the United States; or

24 (2) resulted in a decision that was based on an unreasonable  
25 determination of the facts in light of the evidence presented in the  
26 State court proceeding.

24 The AEDPA "modified a federal habeas court's role in reviewing state prisoner applications  
25 in order to prevent federal habeas 'retrials' and to ensure that state-court convictions are given effect  
26 to the extent possible under law." *Bell v. Cone*, 535 U.S. 685, 693-694 (2002). A state court

1 decision is contrary to clearly established Supreme Court precedent, within the meaning of 28 U.S.C.  
2 § 2254, “if the state court applies a rule that contradicts the governing law set forth in [the Supreme  
3 Court’s] cases” or “if the state court confronts a set of facts that are materially indistinguishable from  
4 a decision of [the Supreme Court] and nevertheless arrives at a result different from [the Supreme  
5 Court’s] precedent.” *Lockyer v. Andrade*, 538 U.S. 63, 73 (2003) (quoting *Williams v. Taylor*, 529  
6 U.S. 362, 405-406 (2000) and citing *Bell v. Cone*, 535 U.S. 685, 694 (2002)).

7 A state court decision is an unreasonable application of clearly established Supreme Court  
8 precedent, within the meaning of 28 U.S.C. § 2254(d), “if the state court identifies the correct  
9 governing legal principle from [the Supreme Court’s] decisions but unreasonably applies that  
10 principle to the facts of the prisoner’s case.” *Lockyer v. Andrade*, 538 U.S. at 75 (quoting *Williams*,  
11 529 U.S. at 413). The “unreasonable application” clause requires the state court decision to be more  
12 than merely incorrect or erroneous; the state court’s application of clearly established federal law  
13 must be objectively unreasonable. *Id.* (quoting *Williams*, 529 U.S. at 409).

14 In determining whether a state court decision is contrary to, or an unreasonable application of  
15 federal law, this Court looks to the state courts’ last reasoned decision. *See Ylst v. Nunnemaker*, 501  
16 U.S. 797, 803-04 (1991); *Shackleford v. Hubbard*, 234 F.3d 1072, 1079 n.2 (9<sup>th</sup> Cir. 2000), *cert.*  
17 *denied*, 534 U.S. 944 (2001).

18 Moreover, “a determination of a factual issue made by a State court shall be presumed to be  
19 correct,” and the petitioner “shall have the burden of rebutting the presumption of correctness by  
20 clear and convincing evidence.” 28 U.S.C. § 2254(e)(1).

21 Respondents move to dismiss this petition on the ground that it contains unexhausted claims  
22 and claims that are procedurally barred. Rule 4 of the Rules Governing Section 2254 Cases allows a  
23 district court to dismiss a petition if it “plainly appears from the face of the petition and any exhibits  
24 annexed to it that the Petitioner is not entitled to relief in the district court . . . .” The Advisory  
25 Committee Notes to Rule 5 of the Rules Governing § 2254 Cases state that “an alleged failure to  
26 exhaust state remedies may be raised by the Attorney General, thus avoiding the necessity of a

1 formal answer as to that ground.” The Ninth Circuit has referred to a respondent’s motion to dismiss  
 2 as a request for the court to dismiss under Rule 4 of the Rules Governing § 2254 Cases. *See, e.g.,*  
 3 *O’Bremski v. Maass*, 915 F.2d 418, 420 (1991); *White v. Lewis*, 874 F.2d 599, 602-03 (9<sup>th</sup> Cir.  
 4 1989); *Hillery v. Pulley*, 533 F.Supp. 1189, 1194 & n.12 (E.D. Cal. 1982). Based on the Rules  
 5 Governing Section 2254 Cases and case law, the court will review respondents’ motion to dismiss  
 6 pursuant to its authority under Rule 4.

## 7 DISCUSSION

### 8 Motion for Discovery

9 Petitioner has filed a motion for discovery, seeking the following:

10 (1) All files, records, reports lists and tests results showing each and every individual tested  
 11 or sought to be tested for DNA comparison as an indication of the prosecution’s commitment  
 to the belief that the recovered DNA belonged to the killer.

12 (2) All traffic cam, security or surveillance recordings impounded or examined from and near  
 13 the victim’s place of employment, the Joker’s Wild Casino, the subject convenience store,  
 and the location where the victim’s automobile was discovered;

14 (3) Depositions of each prosecutor who directly or indirectly argued, supported or at anytime  
 15 advanced the theory that the DNA recovered from or under the victim’s fingernails identified  
 her killer and ultimately exonerated Petitioner.

16 (4) Production of the photographed but unaccounted for steering-wheel-club (lock) for  
 17 fingerprint and DNA analysis.

18 (Docket #23, p. 1.)

19 “The writ of habeas corpus is not a proceeding in the original criminal prosecution but an  
 20 independent civil suit.” *Riddle v. Dyche*, 262 U.S. 333, 335-336, 43 S.Ct. 555, 555 (1923); *See, e.g.*  
 21 *Keeney v. Tamayo-Reyes*, 504 U.S. 1, 14, 112 S.Ct. 1715, 1722 (1992) (O’Connor, J., dissenting).  
 22 However, modern habeas corpus procedure has the same function as an ordinary appeal. *Anderson v.*  
 23 *Butler*, 886 F.2d 111, 113 (5<sup>th</sup> Cir. 1989); *O’Neal v. McAninch*, 513 U.S. 440, 442, 115 S.Ct. 992  
 24 (1995) (federal court’s function in habeas corpus proceedings is to “review errors in state criminal  
 25 trials”(emphasis omitted)). A habeas proceeding does not proceed to “trial” and unlike other civil  
 26 litigation, a habeas corpus petitioner is not entitled to broad discovery. *Bracy v. Gramley*, 520 U.S.  
 899, 117 S.Ct. 1793, 1796-97 (1997); *Harris v. Nelson*, 394 U.S. 286, 295, 89 S.Ct. 1082, 1088-89

(1969). Although discovery is available pursuant to Rule 6, it is only granted at the Court's discretion, and upon a showing of good cause. *Bracy*, 117 S.Ct. 1793, 1797; *McDaniel v. United States Dist. Court (Jones)*, 127 F.3d 886, 888 (9th Cir. 1997); *Jones v. Wood*, 114 F.3d 1002, 1009 (9th Cir. 1997); Rule 6(a) of the Rules Governing Section 2254. The Advisory Committee Notes to Rule 6 of the Rules Governing Section 2254 Cases emphasize that Rule 6 was not intended to extend to habeas corpus petitioners, as a matter of right, the Federal Rules of Civil Procedure's broad discovery provisions. Rule 6, Advisory Committee Notes (quoting *Harris*, 394 U.S. at 295, 89 S.Ct. at 1089).

Petitioner provides no explanation as to why he seeks discovery, and the court fails to see the significance of this information in relation to the pending motion to dismiss on exhaustion and procedural default grounds. The discovery mechanism is not available to petitioner to obtain evidence in support of his innocence. *Calderon v. U.S.D.C. (Nicolaus)*, 98 F.3d 1102, 1106 (9<sup>th</sup> Cir.1996) (*quoting Aubut v. Maine*, 431 F.2d 688, 689 (1<sup>st</sup> Cir.1970) (discovery not meant to be a fishing expedition for habeas petitioners to "explore their case in search of its existence.")). Under the AEDPA, an application for habeas corpus will not be granted unless the adjudication of the claim "resulted in a decision that was contrary to, or involved an unreasonable application of, clearly established Federal law, as determined by the Supreme Court of the United States;" or "resulted in a decision that was based on an unreasonable determination of the facts in light of the evidence presented in the State Court proceeding." 28 U.S.C. § 2254(d). Petitioner has presented nothing to show that granting the discovery he seeks will enable him to meet that standard. Accordingly, petitioner's motion for discovery will be denied.

#### Ground One

Respondents move to dismiss ground one on the ground that it is unexhausted. In ground one petitioner alleges that his conviction and/or sentence is unconstitutional based on the violation of his Sixth Amendment right to effective assistance of counsel. Petitioner claims that trial counsel was ineffective for many different reasons, alleging the following:

- 1 (A) Defense counsel was unprepared for a change in the prosecution's theory regarding the DNA
- 2 evidence located under the victim's fingernails;
- 3 (B) Defense counsel failed to conduct a forensic examination of the victim;
- 4 (C) Defense counsel failed to obtain live testimony of Corrian Stevens and Andrea Collins;
- 5 (D) Defense counsel failed to elicit through testimony that the State had entered into agreements
- 6 with witnesses Trujilla and Sandilla;
- 7 (E) Defense counsel failed to secure testimony of Beverly Cowel;
- 8 (F) Defense counsel failed to object to the jury instruction regarding prior convictions;
- 9 (G) Defense counsel failed to object to testimony provided by a fingerprint expert;
- 10 (H) Defense counsel failed to adequately protect petitioner from judicial bias;
- 11 (I) Defense counsel expressed to petitioner's mother a desire not to identify the real killer for
- 12 fear it could negatively impact petitioner;
- 13 (J) Defense counsel neglected a water bottle that could have contained DNA evidence;
- 14 (K) Defense counsel failed to fingerprint a steering wheel lock located in the victim's car; and
- 15 (L) Appellate counsel failed to challenge sufficiency of the evidence on direct appeal.
- 16 (Docket #2, p. 3.)

17 A petitioner who is in state custody and wishes to collaterally challenge his conviction by a  
 18 petition for writ of habeas corpus must exhaust state judicial remedies. 28 U.S.C. § 2254(b)(1). The  
 19 exhaustion doctrine is based on comity to the state court and gives the state court the initial  
 20 opportunity to correct the state's alleged constitutional deprivations. *Coleman v. Thompson*, 501  
 21 U.S. 722, 731, 111 S.Ct. 2546, 2554-55 (1991); *Rose v. Lundy*, 455 U.S. 509, 518, 102 S.Ct. 1198,  
 22 1203 (1982); *Buffalo v. Sunn*, 854 F.2d 1158, 1163 (9<sup>th</sup> Cir. 1988).

23 A petitioner can satisfy the exhaustion requirement by providing the highest state court with  
 24 "a full and fair opportunity to consider and resolve the federal claims." *Sandgate v. Maass*, 314  
 25 F.3d 371, 371 (9<sup>th</sup> Cir. 2002), citing *Duncan v. Henry*, 513 U.S. 364, 365, 115 S.Ct. 887, 888 (1995)  
 26 *Duncan v. Henry*, 513 U.S. at 365, 115 S.Ct. at 888 (legal basis); *Kenney v. Tamayo-Reyes*, 504

1 U.S. 1, 112 S.Ct. 1715, 1719 (1992) (factual basis). Additionally, the petitioner must have  
2 specifically told the state court that he was raising a federal constitutional claim. *Duncan*, 513 U.S.  
3 at 365-66, 115 S.Ct. at 888; *Keating v. Hood*, 133 F.3d 1240, 1241 (9<sup>th</sup> Cir.1998). A petitioner can  
4 accomplish this by explicitly citing federal law or the decisions of the federal courts. *Sandgate*, 314  
5 F.3d at 376. “General appeals to broad constitutional principles, such as due process, equal  
6 protection, and the right to a fair trial, are insufficient to establish exhaustion.” *Hiivala v. Wood*, 195  
7 F.3d 1098, 1106 (9<sup>th</sup> Cir. 1999); *see Anderson v. Harless*, 459 U.S. 4, 7, 103 S.Ct. 276 (1982)  
8 (exhaustion requirement not satisfied under circumstance that the "due process ramifications" of an  
9 argument might be "self-evident."). However, the Ninth Circuit has held that “for purposes of  
10 exhaustion, a citation to a state case analyzing a federal constitutional issue serves the same purpose  
11 as a citation to a federal case analyzing such an issue.” *Peterson v. Lampert*, 319 F.3d 1153, 1158  
12 (9<sup>th</sup> Cir. 2003). Further, the Ninth Circuit has held that even if a petitioner did not raise a  
13 constitutional issue in a manner which would otherwise be deemed sufficient, the claim is exhausted  
14 if the state court actually considered and decided the issue. *Sandgate* , 314 F.3d at 376-77.

15 In his state post-conviction habeas proceeding, petitioner addressed the ineffective assistance  
16 of counsel in ground five of his Nevada Supreme Court opening brief. Exhibit 184, p. 12.  
17 Petitioner’s entire argument in support of his claim of ineffective assistance of counsel at trial and on  
18 appeal was as follows:

19 To the extent the evidence against Appellant is seen as overwhelming, his only hope  
20 would have been to take the stand and directly rebut the evidence. Defense counsel,  
21 however, failed to put Appellant on the stand and do so for the futile reason of protecting his  
22 credibility. Counsel was convinced that Appellant would be acquitted because of the  
23 favorable DNA test results. Counsel failed to secure the testimony of 3 witnesses to support  
24 the theory that someone else killed the victim because defense counsel thought the State  
25 would call those witnesses. Counsel did not object to evidence that Appellant tried to hire  
26 someone to commit the crimes and failed to secure limiting instructions to ensure that  
Appellant was not erroneously convicted on a complicity theory. Appellant counsel focused  
on a speedy trial claim, did not challenge the sufficiency of the evidence, did not object to the  
State’s mischaracterization of material evidence or the withholding of favorable evidence or  
consider a verdict based on a complicity theory. Counsel failed entirely to subject the state’s  
case to meaningful adversarial testing from which prejudice is presumed under Strickland v.  
Washington, 466 U.S. 668 (1984). In the absence thereof, the result would probably have  
been different.

1 Exhibit 184, p. 12.

2 As respondents argue, the only claims relating to ineffective assistance which petitioner now  
3 raise which he also raised in his state petition are claims C, E and L. In his opening brief before the  
4 Nevada Supreme Court, petitioner claimed that counsel “failed to secure the testimony of 3 witnesses  
5 to support the theory that someone else killed the victim.” However, in his federal petition,  
6 petitioner now alleges in claims C and E that counsel was ineffective for failing to secure the  
7 testimony of Corrian Stevens, Andrea Collins and Beverly Cowel. Petitioner did not provide that  
8 level of factual detail to the Nevada Supreme Court. This court thus concludes that petitioner did not  
9 provide the Nevada Supreme Court with the factual legal basis for counts C and E and L. Thus,  
10 these claims, as well as the rest of ground one, are not exhausted.

11 Ground Four

12 Respondents also move to dismiss ground four on the basis that it is not exhausted. In  
13 ground four, petitioner alleges that he was deprived of his Sixth Amendment right to a speedy trial.  
14 (Docket #2, p. 9.) He alleges numerous facts in support of this allegation, beginning with the  
15 allegation that the State constructively delayed trial by waiting until 10 days before trial to request a  
16 buccal swab test, knowing that petitioner would object. *Id.* Petitioner claims that the State thereby  
17 gained a tactical advantage because during the delay, his mother, Mary Kravetz, became ill and  
18 unable to “fairly bolster his alibi defense.” *Id.* He also claims that another witness, Beverly  
19 Crowell, became ill, and was unable to testify as to the criminal activity of witnesses Sandilla and  
20 Trujillo. *Id.* Finally, petitioner claims during approximately 7 total delays, the physical and  
21 psychological health of another alibi witness, Leo Kravetz, was impaired, affecting his demeanor and  
22 credibility, and impinging on petitioner’s right to a fair trial. *Id.*

23 Petitioner also contended that his right to a speedy trial was violated in his direct appeal to  
24 the Nevada Supreme Court. Exhibit 155 at 50-55. However, he did not mention Mary Kravetz or  
25 Beverly Crowell. He claimed only that due to the delays in his trial, Leo Kravetz’s memory of events  
26 and time frame was limited. *Id.* at 53. Petitioner claimed that Kravetz’s credibility with the jury was



1 impaired. *Id.*

2 The court finds that, as respondents argue, petitioner has now added substantial additional  
3 factual claims to bolster his allegation of deprivation of his right to a speedy trial that were not  
4 presented to the Nevada Supreme Court. Because petitioner did not provide the factual basis of his  
5 present ground for relief to the state high court, his fourth ground for relief is unexhausted.

6 Grounds Two and Three

7 Respondents move to dismiss grounds two and three of the petition on the ground that they  
8 are procedurally barred. In ground two, petitioner alleges a violation of his Fourteenth Amendment  
9 right to due process, based on the State changing its theory of the case. In ground three, petitioner  
10 alleges a violation of his Fourteenth Amendment right to due process based on a claim of insufficient  
11 evidence to support his murder conviction.

12 “Procedural default” refers to the situation where a petitioner in fact presented a claim to the  
13 state courts but the state courts disposed of the claim on procedural grounds, instead of on the merits.  
14 A federal court will not review a claim for habeas corpus relief if the decision of the state court  
15 regarding that claim rested on a state law ground that is independent of the federal question and  
16 adequate to support the judgment. *Coleman v. Thompson*, 501 U.S. 722, 730-31 (1991).

17 The *Coleman* Court stated the effect of a procedural default, as follows:

18 In all cases in which a state prisoner has defaulted his federal claims in state court  
19 pursuant to an independent and adequate state procedural rule, federal habeas review  
20 of the claims is barred unless the prisoner can demonstrate cause for the default and  
21 actual prejudice as a result of the alleged violation of federal law, or demonstrate that  
failure to consider the claims will result in a fundamental miscarriage of justice.

22 *Coleman*, 501 U.S. at 750; *see also Murray v. Carrier*, 477 U.S. 478, 485 (1986). The procedural  
23 default doctrine ensures that the state’s interest in correcting its own mistakes is respected in all  
24 federal habeas cases. *See Koerner v. Grigas*, 328 F.3d 1039, 1046 (9<sup>th</sup> Cir. 2003).

25 To demonstrate cause for a procedural default, the petitioner must be able to “show that some  
26 *objective factor external to the defense* impeded” his efforts to comply with the state procedural rule.

1 *Murray*, 477 U.S. at 488 (emphasis added). For cause to exist, the external impediment must have  
2 prevented the petitioner from raising the claim. See *McCleskey v. Zant*, 499 U.S. 467, 497 (1991).

3 With respect to the prejudice prong of cause and prejudice, the petitioner bears:  
4 the burden of showing not merely that the errors [complained of] constituted a  
5 possibility of prejudice, but that they worked to his actual and substantial  
6 disadvantage, infecting his entire [proceeding] with errors of constitutional  
7 dimension.

8 *White v. Lewis*, 874 F.2d 599, 603 (9th Cir. 1989), citing *United States v. Frady*, 456 U.S. 152, 170  
9 (1982). If the petitioner fails to show cause, the court need not consider whether the petitioner  
10 suffered actual prejudice. *Engle v. Isaac*, 456 U.S. 107, 134 n.43 (1982); *Roberts v. Arave*, 847 F.2d  
11 528, 530 n.3 (9th Cir. 1988).

12 In addition, a petitioner can avoid the application of the procedural default doctrine by  
13 demonstrating that the federal court's failure to consider his claims will result in a fundamental  
14 miscarriage of justice. To prove a "fundamental miscarriage of justice," petitioner must show that  
15 the constitutional error of which he complains "has probably resulted in the conviction of one who is  
16 actually innocent." *Bousley v. United States*, 523 U.S. 614, 623 (1998) (citing *Murray v. Carrier*,  
17 477 U.S. at 496).

18 In ground two of his present federal petition, petitioner contends that the State impermissibly  
19 changed its theory of the case in violation of his rights under the Fourteenth Amendment.  
20 Respondents claim that this contention was presented in petitioner's state petition, and found to be  
21 barred by the Nevada Supreme Court, citing Exhibit 185, p. 9. They conclude that the contention is  
22 therefore procedurally barred. Respondents are mistaken. In ground one of his appeal from the  
23 denial of his state post-conviction petition for writ of habeas corpus, petitioner contended that the  
24 State impermissibly changed its theory of the case in regard to the importance of the DNA evidence,  
25 in violation of his Fourteenth Amendment rights. Exhibit 184, p. 7. Thus, petitioner did present  
26 what is his current ground two to the Nevada Supreme Court. However, the Nevada Supreme Court  
did not address that contention in its opinion. It addressed only one of petitioner's contentions

1 involving DNA, the contention the State withheld and hid material DNA evidence favorable to  
 2 petitioner. Exhibit 185, p. 9. The court stated: “[w]ith respect to appellant’s claim related to the  
 3 State’s withholding DNA evidence, this court concluded on direct appeal that appellant’s due  
 4 process rights were not violated by any of the State’s alleged actions regarding the evidence. This  
 5 conclusion is law of the case, and may not now be disturbed.” *Id.* This language does not address  
 6 what was ground one in petitioner’s appeal and is now ground two in his federal petition: the  
 7 contention that the State impermissibly changed its theory of the case. Accordingly, this court will  
 8 deny respondents’ motion to dismiss ground two on the basis that it is procedurally barred.

9 In ground three of his present petition, petitioner contends that the State presented  
 10 insufficient evidence to support his murder conviction. Petitioner presented this contention in  
 11 ground two of his appeal from the denial of his state post-conviction petition for writ of habeas  
 12 corpus. Exhibit 184, p. 10.

13 In affirming the decision of the district court denying the post-conviction petition for writ of  
 14 habeas corpus, the Nevada Supreme Court denied this contention, along with several others, on the  
 15 ground that petitioner could have raised them on direct appeal, and did not show good cause for his  
 16 failure to do so, citing NRS 34.810(1)(b)(3) and NRS 34.810(3). The Ninth Circuit Court of  
 17 Appeals has held that, at least in non-capital cases, application of the procedural bar at issue in this  
 18 case -- NRS 34.810 -- is an independent and adequate state ground.<sup>1</sup> *Vang v. Nevada*, 329 F.3d  
 19

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20 <sup>1</sup> NRS 34.810 provides in part as follows:

21 1. The court shall dismiss a petition if the court determines that:

22 (a) The petitioner's conviction was upon a plea of guilty or guilty but mentally ill and the  
 23 petition is not based upon an allegation that the plea was involuntarily or unknowingly  
 entered or that the plea was entered without effective assistance of counsel.

24 (b) The petitioner's conviction was the result of a trial and the grounds for the petition  
 could have been:

25 (1) Presented to the trial court;

26 (2) Raised in a direct appeal or a prior petition for a writ of habeas corpus or  
 postconviction relief; or

(3) Raised in any other proceeding that the petitioner has taken to secure relief  
 from his conviction and sentence, unless the court finds both cause for the failure  
 to present the grounds and actual prejudice to the petitioner.

1 1069, 1073-75 (9<sup>th</sup> Cir. 2003); *see also* *Bargas v. Burns*, 179 F.3d 1207, 1210-12 (9th Cir. 1999).

2 The court therefore finds that ground three is procedurally barred.

3 In opposition to respondents' motion to dismiss, petitioner filed points and authorities and  
4 numerous exhibits. In his points and authorities, petitioner argues that the affidavits and exhibits he  
5 filed are sufficient to create material issues of fact so as to make summary judgment inappropriate.  
6 This argument is meaningless, as respondents have filed a motion to dismiss, not a motion for  
7 summary judgment. Petitioner has not responded to any of the arguments raised by respondents, and  
8 therefore has not provided any basis on which this court could excuse exhaustion or procedural  
9 default.

10  
11 **IT IS THEREFORE ORDERED** that petitioner's motion for discovery is **DENIED**.  
12 (Docket #23.)

13 **IT IS FURTHER ORDERED** that respondents' motion to dismiss is **GRANTED in part**  
14 **and DENIED in part.** (Docket #10.)

15 **IT IS FURTHER ORDERED** that respondents' motion to dismiss is **DENIED** as to ground  
16 two. Ground two is not procedurally barred. Respondents having failed to present any meritorious  
17 basis for its dismissal, ground two may go forward.

18 **IT IS FURTHER ORDERED** that respondents' motion to dismiss is **GRANTED** as to  
19 ground three. Ground three is procedurally barred.

20 The court has found grounds one and four of the petition to be unexhausted in state court.  
21 Consequently, the court finds the petition in this action to be a "mixed" petition -- one containing  
22 both claims exhausted in state court and claims not exhausted in state court. As such, the entire  
23 petition is subject to dismissal, unless petitioner elects to abandon the unexhausted claims. *See Rose*  
24

1 v. *Lundy*, 455 U.S. 509, 521-22 (1982); *Szeto v. Rusen*, 709 F.2d 1340, 1341 (9th Cir.1983).

2 In *Rhines v. Weber*, 544 U.S. 269 (2005), the Supreme Court placed some limitations upon  
3 the discretion of this court to facilitate habeas petitioners' return to state court to exhaust claims.

4 The *Rhines* Court stated:

5 [S]tay and abeyance should be available only in limited circumstances.  
6 Because granting a stay effectively excuses a petitioner's failure to present  
7 his claims first to the state courts, stay and abeyance is only appropriate  
8 when the district court determines there was good cause for the petitioner's  
9 failure to exhaust his claims first in state court. Moreover, even if a  
10 petitioner had good cause for that failure, the district court would abuse its  
11 discretion if it were to grant him a stay when his unexhausted claims are  
12 plainly meritless. *Cf.* 28 U.S.C. § 2254(b)(2) ("An application for a writ  
13 of habeas corpus may be denied on the merits, notwithstanding the failure  
14 of the applicant to exhaust the remedies available in the courts of the  
15 State").

16 *Rhines*, 544 U.S. at 277.

17 However, in view of *Rhines*, before the court determines how to handle petitioner's mixed  
18 petition, the court will grant petitioner an opportunity to show good cause for his failure to exhaust  
19 his unexhausted claims in state court, and to present argument regarding the question whether or not  
20 his unexhausted claims are plainly meritless. Respondent will be granted an opportunity to respond,  
21 and petitioner to reply.

22 Alternatively, petitioner may advise the court of his desire to abandon the unexhausted claims  
23 by filing with the court a sworn declaration of abandonment, signed by the petitioner, himself.

24 **IT IS FURTHER ORDERED** that petitioner shall have thirty (30) days from the date of  
25 entry of this order to show good cause for his failure to exhaust his unexhausted claims in state court,  
26 and to present argument regarding the question whether or not his unexhausted claims are plainly  
27 meritless. Respondents shall thereafter have twenty (20) days to respond. Petitioner shall thereafter  
28 have fifteen (15) days to reply.

29 **IT IS FURTHER ORDERED** that alternatively, petitioner may advise the Court of his  
30 desire to abandon the unexhausted claims (grounds one and four) by filing a sworn declaration of

1 abandonment, signed by the petitioner, himself. This declaration shall be filed within the thirty days  
2 allowed to show cause for non-exhaustion. If petitioner chooses to abandon the unexhausted claims  
3 (grounds one and four), this case shall go forward on ground two only.

4 DATED: November 10, 2010

5 

6  
7 UNITED STATES DISTRICT JUDGE